

EXHIBIT B: FORM OF PRICE-MAKER CERTIFICATE

Item	Guidance	Information from Director
Name of Applicant:		
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	

The Relevant CMU:

Q1: Specify the CMU Type:

Existing Generating CMU

Existing Interconnector CMU

If the relevant CMU is neither of the above then completion of this Exhibit is not applicable.

Item	Guidance	Information from Director
CMU ID:		
CMU Name:		

Declarations:

The Directors of the Applicant, hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of our knowledge, information and belief:

(a) the Applicant has applied for Prequalification in a Capacity Auction in accordance with the Capacity Market Rules with respect to the following Existing Generating CMU or Existing Interconnector CMU;

(b) the Applicant has received notice from the Delivery Body that the Relevant CMU has Prequalified for the purposes of the Capacity Market Rules;

(c) the Applicant's forecast economics are such that for the Relevant CMU to continue in economic operation into the Delivery Year will require the Company to secure a Capacity Agreement in the Capacity Auction with respect to the Relevant CMU at a Clearing Price which is above the Price-Taker Threshold; and

(d) the Applicant's estimated net going forward costs with respect to the Relevant CMU (being the Company's total revenue requirement with respect to the Relevant CMU less risk-adjusted market value from sales of energy and ancillary services with respect to the Relevant CMU) exceed the Price-Taker Threshold.

The Company accordingly wishes to be a Price-Maker with respect to the Relevant CMU and has prepared a Price-Maker Memorandum which supports the statements in this certificate and lodged such Price-Maker Memorandum with the Authority.

Signature	
Date (dd/mm/yyyy)	
Print Name	

Signature:	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT C: FORM OF CERTIFICATE OF CONDUCT

Item	Guidance	Information from Directors
Name of Applicant:	Entity name	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank.	
Application year:	Calendar year of original application submission	

We the Directors of the Applicant hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of their knowledge, information and belief:

- (a)** the Applicant has complied with all laws intended to prohibit or restrict anti-competitive practices relevant to its Application or proposed participation in a Capacity Auction;
- (b)** neither the Applicant nor any other Applicant-related Party (if any) has engaged in any Market Manipulation;
- (c)** neither the Applicant nor any other Applicant-related Party (if any) or any member of the Applicant's Group has done anything which would constitute a breach of the Bribery Act 2010 as amended from time to time with a view to influencing the outcome of a Capacity Auction;

(d) neither the Applicant nor any other Applicant-related Party (if any) or any member of the Applicant's Group has offered to pay or give any sum of money, inducement or valuable consideration directly or indirectly to any officer of an Administrative Party; and

(e) neither the Applicant nor any member of the Applicant's Group (nor any person to whom any such information has been shared) will disclose, whether directly or indirectly, information relating to any Continuing Bid or Exit Bid to be made by an Applicant with regard to a Bidding CMU, to any person, except where the disclosure was:

- (i) in accordance with any requirement under:
 - (aa) an enactment;
 - (bb) a licence under section 6(1) of EA 1989 (where the Company is the holder of such a licence); or
 - (cc) a document maintained under such a licence;
- (ia) Administrative Parties; or
- (ib) to the Competition and Markets Authority; or
- (ii) to a member of that Applicant's Group; or
- (iii) to its Agent provided that such Agent is not also the Agent of another Applicant (unless the other Applicant is a member of the Applicant's Group);
or
- (iv) where the Applicant is not the legal owner of the CMU to which the Application relates, to the legal owner of the CMU;
- (v) where the Application is for an Interconnector CMU, to any person who is a Joint Owner in relation to that Interconnector CMU;
- (va) to any potential purchaser of the CMU;
- (vi) where the Applicant is the legal owner of the CMU, to any third party having, or potentially having, Despatch Control with respect to the Applicant's Group;
- (vii) to any provider of finance with respect to the Applicant's Group;
- (viii) to any shareholder in the Applicant or, where such a shareholder is a company and a member of a Group, to any other company which is a member of that shareholder's Group;

- (ix) to the professional advisers of;
 - (aa) the Applicant;
 - (bb) any member of the Applicant’s Group;
 - (cc) any shareholder in the Applicant or, where such a shareholder is a company and a member of a Group, of any other company which is a member of that Group; or
 - (dd) any potential purchaser of the CMU; or
- (x) in respect of information that was already public.

(f) not obtained or attempted to obtain information relating to a Continuing Bid or Exit Bid made by any other Applicant save where such disclosure to the Applicant would be permitted under Rules 5.13.1(e)(ii) to 5.13.1(e)(x)

Please note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Signature	
Date (dd/mm/yyyy)	
Print Name	

Please note: No second signature is required for sole director companies, see Rule 1.3.A

Signature	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT D: FORM OF APPLICANT DECLARATION

Item	Guidance	Response
CMU ID:		
Application year:	Calendar year of original application submission	
Company Name:	Despatch Controller	
Company Name:	Legal Owner	

Declarations:

The following confirmations and declarations are made jointly by the Legal Owner and the Despatch Controller and, together with the Legal Owner, the Relevant Parties with respect to the above Generating CMU and in relation to the Application to which this declaration relates the Relevant Application.

(a) Each of the Relevant Parties hereby confirms that:

- (i) the Legal Owner is the legal owner of each Generating Unit comprised in the Relevant CMU; and
- (ii) the Despatch Controller has Despatch Control with respect to each Generating Unit comprised in the Relevant CMU.

(b) Each of the Relevant Parties hereby declares that:

- (i) the Despatch Controller is the Applicant for the Relevant CMU in relation to the Relevant Application;
- (ii) in the event that the Relevant CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Despatch Controller will be the Bidder for the Relevant CMU in that Capacity Auction; and
- (iii) in the event that the Relevant CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates, the Despatch Controller will be the Capacity Provider for the Relevant CMU.

Please note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Please note: No second signature is required for sole director companies, see Rule 1.3A. Despatch Controller means, for a Generating CMU, the person exercising Despatch Control with respect to each Generating Unit comprised in that Generating CMU.

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

EXHIBIT DA: FORM OF JOINT OWNER DECLARATION FOR EXISTING INTERCONNECTOR CMU

Item	Guidance	Response
Relevant CMU:	Description of Interconnector CMU and Non-GB Part	
CMU ID:	Existing Interconnector CMU and Non-GB Part	
Application year:	Calendar year of original application submission	
Relevant Parties:	The relevant parties are the parties who are together the Joint Owners in relation to Interconnector CMU and/or its associated Non-GB Part	
Applicant Party	Entity name	
Other Joint Owners		

Declarations:

The following confirmations and declarations are made by the “Relevant Parties” and are made in relation to the Application for the Relevant Existing Interconnector CMU (the “Relevant Application”).

(a) Each of the Relevant Parties hereby confirms that each is a Joint Owner in relation to the Relevant Existing Interconnector CMU; and

(b) Each of the Relevant Parties hereby declares that:

- (i)** The Applicant Party is the Applicant for the Relevant Existing Interconnector CMU in relation to the Relevant Application
- (ii)** in the event that the Relevant Existing Interconnector CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Applicant Party will be the Bidder for the Relevant CMU in that Capacity Auction;
- (iii)** in the event that the Relevant Existing Interconnector CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates, the Applicant Party will be the Capacity Provider for the Relevant Existing Interconnector CMU.

Please note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Item	Guidance	
Applicant entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Item	Guidance	
Joint Owner entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

By signing below I confirm I have the authority to make the above declarations on behalf of the Joint Owner company

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

**EXHIBIT DB: ALTERNATIVE FORM OF JOINT OWNER DECLARATION
FOR EXISTING INTERCONNECTOR CMU**

Item	Guidance	Response
Relevant CMU:	Description of Interconnector CMU and Non-GB Part	
Application year:	Calendar year of original application submission	
CMU ID:	This is the Relevant CMU for the purposes of the Declarations below	
Relevant Parties:	The relevant parties are the parties who are together the Joint Owners in relation to Interconnector CMU and/or its associated Non-GB Part	
Applicant Party:	Entity Name	
Other Joint Owners:		

The following confirmations and declarations are made by the Applicant who together with other Joint Owners is a Joint Owner in relation to the Relevant CMU and/or its associated Non-GB Part and are made in relation to the Application for the Relevant CMU (the “Relevant Application”):

(a) The Applicant hereby confirms that:

- (i) it is a Joint Owner in relation to the Relevant CMU;
- (ii) the ownership arrangements in relation to the Relevant CMU and the Non-GB Part do not preclude or limit its ability to act as Applicant or Capacity Provider or to perform any of its obligations under the Regulations or the Capacity Market Rules (as amended) 2014; and
- (iii) it has attached to this Declaration a signed acknowledgement from each of the other Joint Owners that they agree to its participation or intended participation in the Capacity Market; and

(b) The Applicant hereby declares that:

- (i) it is the Applicant for the Relevant CMU in relation to the Relevant Application;
- (ii) in the event that the Relevant CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates,

- it will be the Bidder for the Relevant CMU in that Capacity Auction;
and
- (iii) in the event that the Relevant CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates it will be the Capacity Provider for the Relevant CMU.

Please note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies.

Applicant Signature	
Date (dd/mm/yyyy)	
Print Name	

Applicant Signature	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT DC: FORM OF JOINT OWNER DECLARATION FOR PROSPECTIVE INTERCONNECTOR CMU

Item	Guidance	Response
Relevant CMU:	Description of Interconnector CMU and Non-GB Part	
Application year:	Calendar year of original application submission	
CMU ID:	This is the Relevant CMU for the purposes of the Declarations below	
Relevant Parties:	The relevant parties are the parties who are together the Joint Owners in relation to Interconnector CMU and/or its associated Non-GB Part	
Applicant Party	Entity Name	
Other Joint Owners		

The following confirmations and declarations are made by the Joint Owners in relation to the CMU ID (the “Relevant CMU”) and/or its associated Non-GB Part and are made in relation to the Application for the Relevant CMU (the “Relevant Application”):

(a) Each of the Relevant Parties hereby confirms that each is a Joint Owner in relation to the Relevant CMU; and

(b) Each of the Relevant Parties hereby declares that:

- (i) The Applicant Party acts for the Relevant CMU in relation to the Relevant Application;
- (ii) in the event that the Relevant CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Applicant will be the Bidder for the Relevant CMU in that Capacity Auction;
- (iii) in the event that the Relevant CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates, the Applicant will be the Capacity Provider for the Relevant CMU;

- (iv) any statement or declaration made or deemed to be made by the Applicant as Applicant, Bidder or Capacity Provider in accordance with the Capacity Market Rules (as amended) 2014 is made or deemed to be made by or in respect of all Relevant Parties;
- (v) any certification required to be made by the Applicant, Bidder or Capacity Provider in accordance with Capacity Market Rules, including the Prequalification Certificate and the Certificate of Conduct, is made by or in respect of all Relevant Parties.

Please note: Capitalised terms used herein have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Item	Guidance	
Applicant entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

<u>Signature</u>	
Date (dd/mm/yyyy)	
Print Name	

<u>Signature</u>	
Date (dd/mm/yyyy)	
Print Name	

Item	Guidance	
Joint Owner entity:		
Company Address:		
Company Number:		
Registrar:	That holds company information where not UK Companies House	

By signing below I confirm I have the authority to make the above declarations on behalf of the Joint Owner company

<u>Signature</u>	
Date (dd/mm/yyyy)	
Print Name	

<u>Signature</u>	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT E: FORM OF AGENT NOMINATION FORM

1) Applicant Details

Item	Information
Applicant (Entity name):	
Address:	
Telephone:	
Email:	
CMU IDs to which Agent Nomination Form relates:	
Matters for which the Agent is appointed:	Applications <input type="checkbox"/> Bidding <input type="checkbox"/> Receiving / sending correspondence and notices to / from Administrative Parties <input type="checkbox"/> Obligation Trading <input type="checkbox"/> Volume Reallocation <input type="checkbox"/>

2) Agent Details

Item	Information
Agent:	
Address:	
Telephone number:	
Email:	
Agent's Company Number	
Agent's Group, Ultimate Holding Company Name	
Agent's Group, Ultimate Holding Company Number	
Date from which appointment is to be effective:	

3) Appointment of Agent:

The Applicant hereby gives notice that:

- a) it appoints the Agent listed above to act as its Agent in relation to all matters set out in the 'Matters for which the Agent is appointed' field above;
- b) it acknowledges and agrees that the Administrative Parties can rely on representations made by the Agent;
- c) it acknowledges and agrees that it is bound by the Agent's acts and omissions;
- d) it is responsible for every act, breach, omission, neglect and failure of the Agent (in relation to the Applicant) and must itself comply and must procure compliance by the Agent, with the relevant provisions of the Rules;
- e) it will take such actions and provide such information as is reasonably necessary to enable the Agent for which it is responsible to discharge its functions in accordance with the relevant provisions of the Rules;
- f) only one Agent is appointed by an Applicant with respect to a CMU at any one time; and
- g) such Agent (or any member within the Agent's Group) is not also the Agent for any other Applicant (unless the other Applicant is a member of the same Group as the Applicant).

4) Termination of appointment of Agent

Date of which termination is to be effective:	
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Resignation of Agent:

Date from which resignation is to be effective:	
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Authorised Signature of Applicant:	
Authorised Signature of Agent:	

- (ii) the Despatch Controller has or, in the case of a Prospective Generating CMU, will have Despatch Control with respect to each Generating Unit comprised in the Relevant Generating CMU.

(b) The Despatch Controller hereby confirms that:

- (i) the Despatch Controller is the Applicant for the Relevant Generating CMU in relation to the Relevant Application;
- (ii) in the event that the Relevant Generating CMU becomes a Prequalified CMU for the Capacity Auction to which the Relevant Application relates, the Despatch Controller will be the Bidder for the Relevant Generating CMU in that Capacity Auction;
- (iii) in the event that the Relevant Generating CMU becomes a Capacity Committed CMU pursuant to the Capacity Auction to which the Relevant Application relates or following an agreement transfer, the Despatch Controller will be the Capacity Provider for the Relevant Generating CMU; and
- (iv) the total Connection Capacity of the Generating Units that make up the CMU does not exceed 50MW.

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be signed by two directors (or officers, in the case of a body other than a company) of the Despatch Controller.

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

EXHIBIT G: FORM OF LEGAL OWNER DECLARATION

Item	Guidance	Information
Legal Owner:	Name and address details of Legal Owner	
Legal Owner Company Number:		
Registered address of Legal Owner:		
Despatch Controller:	Name of the entity	
CMU ID:	The Relevant CMU	
Component ID:	The Relevant Generating Unit	
Application year:	Calendar year of original application submission	

The following confirmations and declarations are made by the Legal Owner as named above with respect to the above Generating Unit(s) and they confirm that:

(a) The Legal Owner hereby confirms that:

- (i)** the Legal Owner is the sole legal owner of the Relevant Generating Unit(s); and
- (ii)** the Despatch Controller has or, in the case of a Prospective CMU, will have Despatch Control with respect to the Relevant Generating Unit(s).

(b) the Legal Owner consents to the Despatch Controller submitting an Application in respect of a CMU, of which the Relevant Generating Unit(s) forms part.

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be signed by two directors (or officers, in the case of a body other than a company) of the person having legal ownership of the relevant Generating Unit(s).

Legal Owner Signature:	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Legal Owner Signature:	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT H: FORM OF AGGREGATOR TRANSFER DECLARATION

Item	Guidance	Information
Previous Legal Owner:	Name and address details of Legal Owner	
New Legal Owner	Name and address details of Legal Owner	
Effective Date	Date of transfer	
Despatch Controller:	Name of the entity	
CMU ID:		

Aggregator Transfer Declaration

The following confirmations and declarations are made by the Despatch Controller with respect to the Relevant Generating CMU outlined above. The Despatch Controller hereby confirms that:

- (a)** legal ownership of the Relevant Generating Unit(s) has been transferred from the previous Legal Owner to New Legal Owner as of the effective date;
- (b)** legal ownership of each Generating Unit comprised in the Relevant Generating CMU is vested in the parties listed below; and
- (c)** the Despatch Controller has or, in the case of a Prospective CMU, will have Despatch Control with respect to each Generating Unit comprised in the Relevant Generating CMU.

Note: if different legal owners are involved, both the Component ID and the description of the related Generating Unit should be included in the Component ID column

Note: No second signature is required for sole director companies, see Rule 1.3.A

Despatch Controller Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

EXHIBIT I: FORM OF LEGAL OWNER TRANSFER DECLARATION

Item	Guidance	Information
New Legal Owner:	Name and address details of Legal Owner (including Company number if applicable)	
	Address details	
	Companies house ID	
Despatch Controller:	Name of the entity	
CMU ID:		
Relevant Generating Unit:	Description of the Generating Unit, including at least the Component ID (as listed on the portal), if multiple GUs please list all that apply.	

The following confirmations and declarations are made by the Legal Owner with respect to the Relevant Generating Unit(s). The Legal Owner hereby confirms that:

- (a) the Legal Owner is the sole legal owner of the Relevant Generating Unit(s); and
- (b) the Despatch Controller has or, in the case of a Prospective CMU, will have Despatch Control with respect to the Relevant Generating Unit(s).

Please note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

To be executed by each Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Legal Owner Signature:	
Date: (dd/mm/yyyy)	
Print Name:	

EXHIBIT J: FORM OF FUNDING DECLARATION

Item	Guidance	Information
Capacity Provider	Name of the Capacity Provider	
CMU ID:	The Relevant CMU	

Please note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

The following declarations and confirmations are made by the Capacity Provider with respect to the Relevant CMU:

(a) in respect of the Relevant CMU

(i) Relevant Expenditure

No Relevant Expenditure has been, or is expected to be, incurred; or

Relevant Expenditure has been incurred, or is expected to be incurred,; or

This updated Funding Declaration is provided pursuant to Rule 8.3.8(a)(ii)

This updated Funding Declaration is provided pursuant to Rule 8.3.10

(ii) Relevant Benefit

No Relevant Benefit has been received, or is expected to be, received;

Relevant Benefit has been, or is expected to be, received;

This updated Funding Declaration is provided pursuant to Rule 8.3.8(a)(ii) or (b);

Where Relevant Expenditure has been, or is expected to be, incurred:

	Guidance	Information
(iii)	the total amount of Relevant Expenditure that has been or is expected to be, incurred is:	
(iv)	the date(s) that the Relevant Investment was, or is expected to be, received	
(v)	either	
	the Relevant Investment is under the Enterprise Investment Scheme, or the Seed Enterprise Investment Scheme, and the name of the company that received the Relevant Investment as recorded in HM Revenue & Customs records in respect of that Relevant Investment is:	
	Note: If both apply, enter the aggregate value or	
	the Relevant Investment is under the Venture Capital Trust and the name of the company that made the Relevant Investment is; and	
(vi)	the Capacity Provider agrees for the total Relevant Expenditure incurred with respect to the Relevant CMU to be set off against or recovered from any Capacity Payments payable to the Capacity Provider in respect of the Relevant CMU, and no payment shall be made to the Capacity Provider until such amount has been set off or recovered in its entirety.	<input type="checkbox"/>

Where Relevant Benefit has been, or is expected to be, received:

	Guidance	Information
(vii)	the total amount in pound sterling (£), including if granted in any form or currency other than pound sterling (£), of Relevant Benefit that has been or is expected to be received,) including where notice of the Relevant Benefit has been given:	

	Guidance	Information
(viii)	the notice of Relevant Benefit was given on: - insert date(s) for all aid or subsidy granted where notice of the Relevant Benefit has been given but Relevant Benefit has not yet been granted	
(ix)	the Relevant Benefit was or is expected to be received: - insert date/s for all aid or subsidy granted/subsidy to be granted	
(x)	the Relevant Benefit is granted under the following scheme(s) or measure(s) - insert a numbered list containing a description of each scheme or measure under which the Relevant Benefit is granted	1.
		2.
		3.
(xi)	the name of the company/companies that received the Relevant Benefit [under the scheme/s or measure/s described at [insert number] of the list in (a)(x)] as recorded in HM Revenue & Customs records in respect of that Relevant Benefit is [insert name(s)] [and/or] [The name of the Person(s) other than a company/companies who received the Relevant Benefit [under the scheme/s or measure/s described at [insert number] of the list in (a)(x)] is [insert name(s)]; and	
(xii)	the Capacity Provider agrees for the total Relevant Benefit received with respect to the Relevant CMU to be set off against or recovered from any Capacity Payments payable to the Capacity Provider in respect of the Relevant CMU, so that no payment shall be made to the Capacity Provider until such amount has been set off or recovered in its entirety.	<input type="checkbox"/>

(b) The Capacity Provider hereby confirms that:

(i) where Rule 8.3.8(a)(i) applies, the Capacity Provider will provide the Delivery Body with an updated Funding Declaration in respect of Relevant Expenditure incurred or due to be incurred, as required in accordance with that Rule;

(ii) where Rule 8.3.8(a)(ii) or Rule 8.3.8(b) apply, the Capacity Provider will provide the Delivery Body with an updated Funding Declaration and additional updated Funding Declaration, as required in accordance with those Rules;

(iii) the Capacity Provider consents, and has obtained the written consent of all other relevant persons, to the Authority and HM Revenue & Customs exchanging relevant information in relation to any Relevant Expenditure, Relevant Investment or Relevant Benefit for the sole purpose of the Authority exercising its functions under the Rules and the Regulations in connection with the Relevant Expenditure, or Relevant Benefit; and

(iv) in all respects, this Funding Declaration and each of the specific declarations referred to in paragraph (a) are true and correct and that this Funding Declaration has been authorised by the board of directors of the Capacity Provider.

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of the Director	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT AA: FORM OF LONG STOP UTILISATION DECLARATION

Item	Guidance	Information from Directors
Name of Relevant Party :	Applicant Entity	
Address of registered office:		
Company registration number <u>(or equivalent if Company Registration Number is not applicable)</u>:	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	
CMU ID:	The Relevant CMU	
Relevant T-4 Auction:	Year of the Auction Prequalification process in which the Low Carbon Declaration is being provided relates	
Name of Independent Technical Expert(s):		

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated

The Relevant Party declare and confirm the matters set out below with reference to the Relevant CMU .

Part 1: Delivery after the first Delivery Year

1. The Relevant Application relates to the Relevant Auction.	
2. The Relevant CMU is a;	New Build CMU <input type="checkbox"/> Refurbishing CMU <input type="checkbox"/>
3. A Low Carbon Declaration in relation to the Relevant CMU is being provided with the Relevant Application.	
4. The Company intends to commit to Qualifying £/kW Capital Expenditure, that exceeds the Three Year Minimum £/kW Threshold (the threshold is set out in notices specific to each Auction).	
5. We reasonably believe it is likely that, even if all the conditions specified in Rule 3.8A.3(a) are satisfied, the Substantial Completion Milestone will not be achieved in respect of the Relevant CMU by the date falling 12 months after the start of the Relevant Delivery Year, for a reason relating to one or more of the matters specified in Rule 3.8A.3(b).	
6. The particular reason(s) for the belief stated in paragraph 5 above are:	

-
-
-
-
-

Part 2: Selection of Long Stop Date option

If the Relevant Application is successful and the Relevant CMU is awarded a Capacity Agreement, the Company wishes the Long Stop Date in respect of that Capacity Agreement to be the date (please select (a) or (b)):

- (a) falling 12 months after the start of the Relevant CMU's Relevant Delivery Year.
- (b) falling 24 months after the start of the Relevant CMU's Relevant Delivery Year.

Part 3: Confirmations

1. In this Part, the "relevant period" is the period of 12 or 24 months leading up to the date specified in Part 2.
2. We have discussed the basis for the beliefs declared in paragraphs 5 and 6 of Part 1 above with our Independent Technical Expert(s), whose report under Rule 3.8A.4(b) is provided, as required, with the Relevant Application.
3. We believe that the Relevant CMU will be able to achieve the Substantial Completion Milestone in the relevant period.
4. We understand that:
 - (a) if, at the end of the relevant period, the Relevant CMU has not achieved the Minimum Completion Requirement, the relevant T-4 Capacity Agreement is liable to be terminated under Rules 6.8.2 and 6.10.1(c) and the Company would be liable to pay a TF5 termination fee;
 - (b) the Relevant CMU will not be able to obtain a T-1 Capacity Agreement in respect of any Delivery Year that ends before the Long Stop Date of any T-4 Capacity

Agreement that it is awarded in the Capacity Auction for which it is submitting the Relevant Application to prequalify;

- (c) any relevant T-4 Capacity Agreement will be issued for no more than the Maximum Obligation Period, and there will be no entitlement, as a result of our making this declaration or of the award of the relevant T-4 Capacity Agreement, for any person to receive Capacity Payments in respect of the relevant CMU before the Long Stop Date referred to in the Capacity Agreement Notice issued in relation to the relevant T-4 Capacity Agreement; and
- (d) if anything in this declaration is found to be untrue or inaccurate, or if anything in the Independent Technical Expert's report referred to above is in any material respect not true or accurate, the relevant T-4 Capacity Agreement may be terminated.

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT AB: FORM OF LIFETIME EXTENSION DECLARATION

Item	Guidance	Information from Directors
Name of Relevant Party:	Applicant Entity	
Address of registered office:		
Company registration number <u>(or equivalent if Company Registration Number is not applicable)</u>:	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	
CMU ID:	The Relevant CMU	

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated

The Relevant Party, hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of our knowledge, information and belief:

- (a) Rule 3.8.1A(ba) applies because the improvements programme at the Relevant CMU is intended by the Applicant to include Lifetime Extension Works;
- (b) taking into account current economic conditions and the regulatory and legislative framework, there are reasonable grounds to believe that a Capacity

Agreement greater than one year in duration is required to facilitate the improvements programme at the Relevant CMU;

(c) the Qualifying £/kW Capital Expenditure has been determined, without reference to any substantive routine or statutory maintenance works required at the Relevant CMU other than those necessary to deliver Lifetime Extension Works which are incurred or are expected to be incurred between the Auction Results Day for the T-4 Auction to which the Application relates and the start of the first Delivery Year.

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT ZA: FORM OF FOSSIL FUEL EMISSIONS DECLARATIONS

Item	Guidance	Information from Directors
Name of Relevant Party Applicant:	Applicant or Capacity Provider entity	
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Company Address:	Address of Registered Office	
CMU ID:	The Relevant CMU	
CMU Name:		

Contents of this declaration:

- **Part 1:** The Relevant CMU
- **Part 2:** Declaration in respect of relevant Fossil Fuel Components
- **Part 3:** Declarations of Fossil Fuel Emissions (and where relevant, Fossil Fuel Yearly Emissions) in respect of relevant Fossil Fuel Components with an Installed Capacity equal to or greater than 1MW
- **Part 4:** Declarations in respect of Formulae applied to determine Fossil Fuel Emissions
- **Part 5:** Declarations in respect of relevant Fossil Fuel Components with an Installed Capacity below 1MW
- **Part 6:** Omitted.
- **Part 7:** Declaration in respect of Emissions Related Material Changes
- **Part 8:** Director signatures
- **Part 9:** Independent Emissions Verifier certification
- **Annex A:** Assurance work conducted by an Independent Emissions Verifier

Note: Capitalised terms in this declaration have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

The following confirmations and declarations are made by Director(s) of the Relevant Party and

where required, this declaration is signed by an authorised signatory on behalf of the Independent Emissions Verifier, and

with respect to the Relevant CMU and

each Fossil Fuel Component, or Associated Fossil Fuel Component by which a Storage Facility comprising the Relevant CMU has part or all of its electricity requirements met, each a **relevant Fossil Fuel Component** comprising the Relevant CMU,

and in respect of the Delivery Year in respect of which a Capacity Obligation awarded to the Relevant CMU applies.

Part 1: The Relevant CMU

The Relevant Party hereby confirms that the Relevant CMU is. Select 1 only:

CMU Type:	Guidance:	Information:
A New Build CMU		<input type="checkbox"/>
A Refurbishing CMU	Where this declaration is provided in respect of both the Pre-Refurbishment CMU and the Relevant CMU once improvement works have been completed	<input type="checkbox"/>
An Existing Generating CMU	Including where this declaration is provided in respect of the Pre-Refurbishment CMU in relation to a Refurbishing CMU	<input type="checkbox"/>
A DSR CMU		<input type="checkbox"/>

Note: failure to complete part 1 will result in the Exhibit being rejected

Part 2: Declaration in respect of whether the relevant CMU includes any Fossil Fuel Components with an Installed Capacity equal to or greater than 1MW

The Relevant Party hereby declares that it meets criteria (a) or (b):

	Declaration	Instruction	Information
(a)	the Relevant CMU comprises of at least one relevant Fossil Fuel Component, but none that have	If (a) applies, you must complete Part 5, Part 7 and Part 8.	<input type="checkbox"/>

	an Installed Capacity of equal to or greater than 1MW	
(b)	the Relevant CMU comprises of at least one relevant Fossil Fuel Component which has an Installed Capacity of equal to or greater than 1MW	If (b) applies, you must complete Part 3, Part 4, Part 5, Part 6, Part 7 and Part 8, and arrange for an Independent Emissions Verifier to complete Part 9 <input type="checkbox"/>

Part 3: Declarations of Fossil Fuel Emissions (and, where relevant, Fossil Fuel Yearly Emissions) in respect of relevant Fossil Fuel Components with an Installed Capacity equal to or greater than 1MW

Please note: an additional row must be added for each additional relevant Fossil Fuel Component. Each component should be entered only once to ensure consistency with the application data and must match those supplied to the Delivery Body.

Fossil Fuel Component descriptor	Commercial Production Start Date	Generating Unit Fuel Type/s	Fossil Fuel Emissions (in gCO₂ per kWhe)	Fossil Fuel Yearly Emissions (in kg CO₂ per kWhe)
<i>Brief descriptor or reference</i>	<i>Select relevant date</i>	<i>List each Generating Unit Fuel Type. Generating Unit Fuel Type/s only specified in the first column of the table of Schedule 9 of CM Rules</i>	<i>Declare the Fossil Fuel Emissions of each relevant Fossil Fuel Component which has an Installed Capacity of equal to or greater than 1MW</i>	<i>Only where applicable. i.e. in respect of a Delivery Year which commences in 2024 or a subsequent Delivery Year, in relation to a relevant Fossil Fuel Component with a Commercial Production Start Date before 4 July 2019, and where the Fossil Fuel Emissions of the Relevant Fossil Fuel Component exceed the Fossil Fuel Emissions Limit (see Rule 3.15.1(b))</i>
	Before 4 July 2019 <input type="checkbox"/>			
	On or after 4 July 2019 <input type="checkbox"/>			

Part 4: Declarations in respect of formulae applied to determine Fossil Fuel Emissions

Please note: an additional row must be added for each additional relevant Fossil Fuel Component. Each component should be entered only once to ensure consistency with the application data.

Fossil Fuel Component descriptor	Formula applied to determine Fossil Fuel Emissions	Formula applied to determine Design Efficiency
<i>Same descriptor or reference used in Part 3</i>	<i>Select one option</i>	<i>Select one option</i>
	Fossil Fuel Emissions Formula <input type="checkbox"/>	Design Efficiency Formula <input type="checkbox"/>
	Fossil Fuel Emissions CCUS Formula <input type="checkbox"/>	Design Efficiency Steam Formula <input type="checkbox"/>
	Fossil Fuel Mixed Fuels Formula <input type="checkbox"/>	Design Efficiency CHPQA Formula <input type="checkbox"/>
	Fossil Fuel Composite Formula <input type="checkbox"/>	

Part 5: Declarations in respect of relevant Fossil Fuel Components with an Installed Capacity below 1MW

Note: complete the relevant section below based on which option you selected in Part 2:

- If (a) was selected, only relevant Fossil Fuel Components less than 1MW, then only option (c) in Part 5 applies;
- If (b) was selected, at least one relevant Fossil Fuel Component 1MW or more, then
 - (c) in Part 5 can apply if any relevant Fossil Fuel Components are less than 1MW, or
 - (d) in Part 5 can apply if no relevant Fossil Fuel Components are less than 1MW.

Therefore;

Part 5 (c) can apply to both Part 2 (a) or (b)

Part 5 (d) can only apply to Part 2 (b) where there are no relevant Fossil Fuel Components under 1MW.

The Relevant Party hereby confirms that:

(a) omitted:

(b) omitted:

(c) The Relevant Delivery Year is the Delivery Year that commences in 2024 or a subsequent Delivery Year	(d) The Relevant Delivery Year is the Delivery Year that commences in 2024 or a subsequent Delivery Year
(i) the Relevant CMU comprises of at least one relevant Fossil Fuel Component which has an Installed Capacity of less than 1MW and each of those relevant Fossil Fuel Components does not exceed the Fossil Fuel Emissions Limit (other than a relevant Fossil Fuel Component which has a Commercial Production Start Date before 4 July 2019 which exceeds the Fossil Fuel Emission Limit, but does not exceed the Fossil Fuel Yearly Limit);	(i) the Relevant CMU does not comprise of any relevant Fossil Fuel Component which has an Installed Capacity of less than 1MW;
(ii) in the event that the Relevant CMU will, after making this declaration, comprise of any additional relevant Fossil Fuel Component with a Commercial Production Start Date	(ii) in the event that the Relevant CMU will, after making this Declaration, comprise of at least one relevant Fossil Fuel Component with a Commercial Production Start Date

<p>on or after 4 July 2019 and which has an Installed Capacity of less than 1MW, such additional relevant Fossil Fuel Components will not exceed the Fossil Fuel Emissions Limit; and</p>	<p>on or after 4 July 2019 and which has an Installed Capacity of less than 1MW, such relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit; and</p>
<p>(iii) in the event that the Relevant CMU will, after making this declaration, comprise of any additional relevant Fossil Fuel Component with a Commercial Production Start Date which is before 4 July 2019 and which has an Installed Capacity of less than 1MW, each such additional relevant Fossil Fuel Component will not exceed Fossil Fuel Emissions Limit (other than where it exceeds the Fossil Fuel Emission Limit, it will not exceed the Fossil Fuel Yearly Emissions Limit);</p>	<p>(iii) in the event that the Relevant CMU will, after making this Declaration, comprise of at least one relevant Fossil Fuel Component with a Commercial Production Start Date which is before 4 July 2019 and which has an Installed Capacity of less than 1MW, each relevant Fossil Fuel Component will not exceed both the Fossil Fuel Emissions Limit (except that, where it exceeds the Fossil Fuel Emissions Limit, it will not exceed the Fossil Fuel Yearly Emissions Limit).</p>
<p style="text-align: center;"><input type="checkbox"/></p>	<p style="text-align: center;"><input type="checkbox"/></p>

Part 6: Omitted

Omitted.

Part 7: Declaration in respect of Emissions Related Material Changes

The Relevant Party hereby confirms that an updated Fossil Fuel Emissions Declaration will be provided if there is an Emissions Related Material Change to the Relevant CMU and/or to a relevant Fossil Fuel Component and:

(a) where the Relevant Delivery Year is the Delivery Year that commences in 2022 or a subsequent Delivery Year, the Relevant CMU and/or each relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit; and/or

(b) where the Relevant Delivery Year is the Delivery Year that commences in 2024 or a subsequent Delivery Year, the Relevant CMU and/or each relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit, and where any relevant Fossil Fuel Component which has a Commercial Production Start Date which is before 4 July 2019 exceeds the Fossil Fuel Emission Limit, it will not exceed the Fossil Fuel Yearly Limit.

Part 8: Director Signatures

To be executed by the Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

<u>Signature of the Director</u>	
Date (dd/mm/yyyy)	
Print Name	

Please note: No second signature is required for sole director companies, see Rule 1.3.A

<u>Signature of the Director</u>	
Date (dd/mm/yyyy)	
Print Name	

Part 9: Independent Emissions Verifier certification of declaration(s) made in Part 3 and Part 4:

Please note: This template should not be amended or expanded with additional data. You must arrange for an Independent Emissions Verifier to complete this Part if Part 2(b) applies.

1) Independent Emissions Verifier to confirm either (a) or (b)

<p>(a) We have conducted a verification of the information provided in the tables in Part 3 and Part 4 and the data provided in support of that information and, on the basis of the Assurance Work described in Annex A to this Declaration, we confirm with reasonable assurance that the declarations in Part 3 are true and correct in all material aspects</p>	<p>(b) We have conducted a verification of the information provided in the tables in Part 3 and Part 4 and the data provided in support of that information and, on the basis of the Assurance Work described in Annex A to this Declaration, we confirm with reasonable assurance that the declarations in Part 3 are true and correct, with the exception of the technical specification(s) / performance test(s) of combustion units made available by the operator and attached to this declaration. These documents have been accepted as representative of current operations in the absence of alternative data sources. The risk of mis-statement of data in Part 3 due to the age, degradation or non-optimum condition of the combustion units in operation has not been taken into account in the verification process.</p>
<input type="checkbox"/>	<input type="checkbox"/>

2) Independent Emissions Verifier to complete all of the following

We have applied the following standard/s when conducting the verification of the information provided in the tables in Part 3 and Part 4 and the data provided in support of that information:

ISO 14065:2020. Requirements for greenhouse gas validation and verification bodies for use in accreditation or other forms of recognition

Item	Guidance	Information
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Description of International Organisation for Standardisation (ISO) or European Standards (EN) Applied	Including Title and Year	
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Signature	
Date (dd/mm/yyyy):	
Print Name:	
Position:	
Company name of Independent Emissions Verifier:	
Accreditation body:	

Annex A: Assurance Work Conducted by the Independent Emissions Verifier

Responsibilities: The Relevant Party is responsible for the preparation and reporting of data in this Fossil Fuel Emissions Declaration (“Declaration”) and for its submission to the Delivery Body in accordance with the Rules. The Independent Emissions Verifier is responsible (in accordance with its contract with the Relevant Party and its accreditation obligations) for carrying out verification of the Declaration and data submitted with the Declaration.

Assurance Work Conducted: The Independent Emissions Verifier has conducted its examination having regard to the criteria used for verification outlined below. This involved examining, based on the verifier’s own assessment of risk, evidence provided by the Relevant Party, to assess whether the verifier is able to give reasonable assurance that the declaration(s) in **Part 3** and **Part 4** of this Declaration are true and correct in all material respects.

Criteria used for verification: The Capacity Market Rules, the Electricity Capacity Regulations 2014 (SI 2014/ 2043); relevant ISO and/or EN standards.

EXHIBIT ZB: FORM OF FOSSIL FUEL EMISSIONS COMMITMENT

Item	Guidance	Information from Directors
Name of Relevant Party Applicant:	Applicant or Capacity Provider entity	
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Company Address:	Address of Registered Office	
CMU ID:	The Relevant CMU	
CMU Name:		
Application year:	Calendar year of original application submission	

The following confirmations and declarations are made by the director(s) of the Applicant with respect to:

the Relevant CMU, and with respect to each Fossil Fuel Component or Associated Fossil Fuel Component by which a Storage Facility has part or all of its electricity requirements met, (each a “relevant Fossil Fuel Component”) which comprises or may comprise the Relevant CMU; and

any Delivery Year in respect of which a Capacity Obligation awarded in the Relevant Capacity Auction to the Relevant CMU may apply (a “Relevant Delivery Year”);

Contents of this declaration:

- **Part 1:** The Relevant CMU
- **Part 2:** Declaration in respect of the Relevant CMU which is New Build, Refurbishing or Unproven DSR
- **Part 3:** Declaration in respect of Emissions Related Material Changes
- **Part 4:** Director signatures

Please note: Capitalised terms used herein have the meaning given in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Part 1: The Relevant CMU

You must complete this Part in respect of the Relevant CMU, by selecting either (a), (b), or (c)

The Applicant hereby confirms that the Relevant CMU is:

CMU Type:	Guidance:	Select 1 only:
(a) A New Build CMU		<input type="checkbox"/>
(b) A Refurbishing CMU	Where this declaration is provided in respect of both the Pre-Refurbishment CMU and the Relevant CMU once improvement works have been completed	<input type="checkbox"/>
(c) An Unproven DSR CMU		<input type="checkbox"/>

Part 2: Declarations in respect of the Relevant CMU

The Applicant hereby declares that:

- (a) the Relevant CMU will not comprise of any relevant Fossil Fuel Component;
- (b) the Relevant CMU will or may comprise of at least one relevant Fossil Fuel Component;
- (c) The Relevant CMU comprises of at least one relevant Fossil Fuel Component:
 - i. the Applicant will make a Fossil Fuel Emissions Declaration in accordance with the relevant deadline (in Rule 8.3.11(b)(i) in respect of a New Build CMU, Rule 8.3.11(b)(ii) in respect of a Refurbishing CMU (including where a Capacity Agreement is awarded to the Pre-Refurbishment CMU) and Rule 8.3.11(b)(iii) in respect of an Unproven DSR CMU);
 - ii. where the Relevant Delivery Year is the Delivery Year that commences in 2022 or a subsequent Delivery Year and the Relevant CMU comprises of at least one relevant Fossil Fuel Component with a Commercial Production Start date on or after 4 July 2019, the Fossil Fuel Emissions of that relevant Fossil Fuel Component will not exceed the Fossil Fuel Emissions Limit; and

- iii. where the Relevant Delivery Year is the Delivery Year that commences in 2024 or is a subsequent Delivery Year and the Relevant CMU comprises of at least one relevant Fossil Fuel Component with a Commercial Production Start Date which is before 4 July 2019, where the Fossil Fuel Emissions of that relevant Fossil Fuel Component exceed the Fossil Fuel Emission Limit, it will not exceed the Fossil Fuel Yearly Limit.

The Applicant further declares that:

- Select all that apply
- (d) the Applicant intends to apply the Fossil Fuel Emissions CCUS Formula to determine the Fossil Fuel Emissions of at least one relevant Fossil Fuel Components
 - (e) the Applicant intends to apply the Fossil Fuel Emissions Mixed Fuel Formula to determine the Fossil Fuel Emissions of at least one relevant Fossil Fuel Components
 - (f) the Applicant intends to apply the Fossil Fuel Emissions Composite Fuel Formula to determine the Fossil Fuel Emissions of at least one relevant Fossil Fuel Components
 - (g) the Applicant intends to apply the Design Efficiency CHPQA Formula to determine the Design Efficiency of at least one relevant Fossil Fuel Components

Part 3: Declaration in respect of Emissions Related Material Changes

An Updating Fossil Fuel Emissions Declaration will be provided to the Delivery Body if, following making a Fossil Fuel Emissions Declaration in respect of the Relevant CMU, there is an Emissions Related Material Change to the Relevant CMU or to a Fossil Fuel Component comprised in the Relevant CMU.

Part 4: Director Signatures

To be executed by Applicant by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

<u>Signature of the Director</u>	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

<u>Signature of the Director</u>	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT ZC: FORM OF FOSSIL FUEL REMOVAL DECLARATION

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

Item	Guidance	Information from Directors
Name of Relevant Party Applicant:	Applicant or Capacity Provider Entity	
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Company Address:	Address of Registered Office	
CMU ID:	The Relevant CMU	
CMU Name:		

The following confirmations and declarations are made by the director(s) of the Relevant Party with respect to the Relevant CMU:

The Relevant Party hereby declares that the Relevant CMU no longer comprises of any Fossil Fuel Component or any Storage Facility which has part or all of its electricity requirements met by an Associated Fossil Fuel Component.

To be executed by the Relevant Party by the signature of two directors, unless Rule 1.3A (inserted by the Capacity Market (Amendment) Rules 2014) applies, or execution is on behalf of a company which is not a UK-registered company (in which case it is to be duly executed under the law of the place in which the company is incorporated).

<u>Signature of the Director</u>	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

<u>Signature of the Director</u>	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT ZD: FORM OF LOW CARBON DECLARATION

Item	Guidance	Information from Directors
Name of Relevant Party:	Applicant or Capacity Provider entity.	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	<p>For GB Companies and LLPs this can be found on Companies House.</p> <p>For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority.</p> <p>Individuals should leave this blank.</p>	
Application year:	Calendar year of original application submission	
CMU ID:	The Relevant CMU	
The Relevant Delivery Year 20(XX/XX) :	If providing this Declaration with an Application, insert first Delivery Year of the Capacity Agreement that you hope the Relevant CMU will be awarded	

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated

The Relevant Party, declare and confirm the matters set out below with reference to the Relevant CMU and the Application that is being made in respect of the Relevant Application.

Part 1: The Relevant CMU

The Relevant CMU is or will be (Please choose one option):

- (a) a New Build Generating CMU;
- (b) a Refurbishing Generating CMU;
- (c) an Unproven DSR CMU.
- (d) an Existing Generating CMU; or
- (e) a Proven DSR CMU.

Part 2: Low Carbon Period

This Declaration relates to:

Please select one option. Select (b) if you are making the Declaration in respect of an Existing Generating CMU or Proven DSR CMU. If (b) is retained, complete it as appropriate.

- (a) the period beginning with the date on which this Declaration is made;
- (b) the period beginning with the start of the Relevant Delivery Year;

and continuing for as long as the Relevant CMU continues to operate, or, if sooner, until this Declaration is revoked in accordance with the Rules (the "Low Carbon Period").

Part 3: Low Emissions Statement

Note: For (1.) below, if the Relevant CMU is expected to comprise any Storage Facility that has part or all of its electricity requirements met by an Associated Fossil Fuel Component.

1. The Relevant CMU (Select one option):

- (a) is and will be a Low Emissions CMU (in respect of an Existing Generating CMU or Proven DSR CMU) – that is, during the Low Carbon Period, it will comprise of:

- (i) Fossil Fuel Components, and any such components have or will have Fossil Fuel Emissions that do not exceed the Low Carbon Limit; and
- (ii) no Other Emitting Component; or

(b) will be a Low Emissions CMU (in respect of a New Build CMU, Refurbishing CMU or Unproven DSR CMU) - that is, during the Low Carbon Period, it will comprise of:

- (i) Fossil Fuel Components, and any such components will have Fossil Fuel Emissions that do not exceed the Low Carbon Limit; and
- (ii) no Other Emitting Component; or

(c) does not and will not comprise of an Emissions Component:

2. The statement in paragraph 1

(a) is supported by a (select 1)

Fossil Fuel Emissions Declaration

Fossil Fuel Emissions Commitment

provided to the Delivery Body with this Declaration in compliance with the Rules.

(b) will be supported by a Fossil Fuel Emissions Declaration provided to the Delivery Body in accordance with the relevant deadline in the Rules

Note: Select (b) if you are providing this Declaration with an Application and have retained paragraph 1(a) or (b) (the Relevant CMU is or will be a Low Emissions CMU)

and you will make a Fossil Fuel Emissions Declaration by a deadline in accordance with Rules 3.18, 8.3.12(b), 8.3.12A(b) or 8.3.13(c) (in respect of an Existing Generating CMU or Proven DSR CMU)

or Rule 8.3.11(a) (in respect of a Prospective Generating CMU or Unproven DSR CMU).

3. We:

(a) know that the statement in paragraph 1 and the

- Fossil Fuel Emissions Declaration

- Fossil Fuel Emissions Commitment

referred to in paragraph 2 are true and accurate; or

(b) have no reason to suspect that a

- Fossil Fuel Emissions Declaration
- Fossil Fuel Emissions Commitment

provided with this Declaration may be untrue or inaccurate.

Part 4: Confirmation by the Applicant's Directors

We confirm that:

(a) We have read and understood Rules 3.10ZA and 3.10ZB (and the meanings of the defined terms used in them and those that are set out in Rule 1.2.1), as well as Rules relating to the Relevant CMU:

(b) We understand that if the Delivery Body gives a Low Emissions Determination in respect of the Relevant CMU, any Capacity Agreement of which the Relevant CMU is the subject may face the consequences specified in the Rules.

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

Note: No second signature is required for sole director companies, see Rule 1.3.A

Signature of Director	
Date (dd/mm/yyyy)	
Print Name	

EXHIBIT A: FORM OF PREQUALIFICATION CERTIFICATE

1. General Identification Information

Item	Guidance	Information from Directors
Name of Applicant:	Entity name	
Address of registered office:		
Company registration number (or equivalent if Company Registration Number is not applicable):	For GB Companies and LLPs this can be found on Companies House. For overseas entities, please provide the unique identifier issued by your country's company registry or equivalent authority. Individuals should leave this blank	
Application year:	Calendar year of original application submission	

2. Applicable questions

Q1: Is the Application being made for an Interconnector CMU where there are Joint Owners?

Yes No

If the answer to Q1 is yes then declarations (aa) and (bb) apply. If the answer to Q1 is no declarations (aa) and (bb) do not apply.

Q2: Is the Application being made for a Refurbishing CMU where the Qualifying £/kW Capital Expenditure is equal to or greater than the Three Year Minimum £/kW Threshold and less than the Fifteen Year Minimum £/kW Threshold?

Yes No

If the answer to Q2 is yes then declaration (f) applies. If the answer to Q2 is no declaration (f) does not apply.

3. Declarations

The Directors of the Applicant hereby certify as at the date of this certificate that, having made due and careful enquiry and to the best of our knowledge, information and belief:

- (a) there is no ground on which the Applicant could be found to be Insolvent, taking into account all of the Applicant's liabilities (including any contingent or prospective liabilities);
- (aa) there is no ground on which a Joint Owner could be found to be Insolvent, taking into account all of that Joint Owner's liabilities (including any contingent or prospective liabilities);
- (b) there is no ground for concluding that the Applicant will become Insolvent as a result of entering into a Capacity Agreement;
- (ba) there is no ground for concluding that a Joint Owner will become Insolvent as a result of the Applicant entering into a Capacity Agreement;
- (c) the Applicant is seeking to enter into a Capacity Agreement in good faith, for the purposes of carrying on its business;
- (d) there are reasonable grounds for believing that a Capacity Agreement would benefit the Applicant;
- (e) the Applicant can correctly make those declarations in Rules 3.4 to 3.11 of the Capacity Market Rules as may be applicable;
- (f) taking into account current economic conditions and the regulatory and legislative framework
 - (i) there are reasonable grounds to believe that a Capacity Agreement greater than one year in duration is required to facilitate the improvements programme at the Refurbishing CMU;

- (ii) the Qualifying £/kW Capital Expenditure has been determined, so far as possible, without reference to any substantive routine or statutory maintenance works required at the Refurbishing CMU; and
 - (iii) the Applicant's determination of the Capital Expenditure is in accordance with International Accounting Standard 16.
- (g) that the Application has been completed in accordance with the requirements of the Capacity Market Rules 2014 and the Electricity Capacity Regulations 2014, as amended; and
- (h) that where previous Exhibits, Declarations or Additional Information have been resubmitted under Rule 3.3.6A, confirm that they remain valid and in accordance with the Capacity Market Rules 2014 as amended.

Note: Capitalised terms in this certificate have the meaning given to them in the Capacity Market Rules 2014 (as amended) unless otherwise indicated.

<u>Signature</u>	
<u>Date (dd/mm/yyyy)</u>	
<u>Print Name</u>	

Note: No second signature is required for sole director companies, see Rule 1.3.A

<u>Signature</u>	
<u>Date (dd/mm/yyyy)</u>	
<u>Print Name</u>	

